

Internal Audit Status Report  
As of May 11, 2012

FY2012 Annual Audit Plan

**Italic Items**- have been completed or are in progress

External Financial Audit Support:

<b>Yearend cutoff</b>	<b>Auxiliary fund analysis</b>
<b>Inventory observation</b>	<b>Unexpended plant fund addition</b>
<b>Cash disbursements &amp; bank transfers</b>	<b>Search for Unrecorded Liabilities</b>
<b>Cash depositories</b>	

Audits and Projects:

University of Alaska Anchorage:  
 Department Review\*  
 Restricted Funds  
**Athletics\*\***  
 Representational Expenditures\*  
 Student Fees

Function and System Reviews:  
**Banking and Reconciliation  
 Activities**  
 Contracts  
**BCP/DRP**  
**Effort Reporting (FY11)**

University of Alaska Fairbanks:  
 Department Review\*  
**Restricted Funds\***

Information Systems Reviews:  
**Outsourced Services**  
 Banner Access  
**Campus IT General Controls\*\***  
**Banner Program Upgrade\*\***

**Follow-up Auditing**

**Department Review<sup>2</sup>\***  
 Restricted Funds  
**Restricted Funds (FY11)**

Special Requests\*  
**Special Request #1**

Investigations\*  
**Investigation #1**

Statewide:  
 Facilities  
 Procurement\*\*  
 Follow-up Audit\*\* - next in  
 queue

\*Specific departments/areas to be determined later

\*\*Carried forward from FY11

1. FY2012 Audit Plan Progress

- a. At the February meeting we communicated a list of audits that are not expected to be conducted. These

- c. UAA Kenai Peninsula College Data Security . preliminary report issued
  - d. UAF Follow-up Auditing
4. Other Department Activities
- a. Quality Assessment Review (QAR) Remediation - Recommendations from the report are being implemented at this time.
  - b. Effort Reporting Work Group
  - c. Participation on the Payment Card Industry Data Security Standards (PCI DSS) Compliance Committee
  - d. External Audit Request for Proposal (early FY13)
  - e. Continuous Controls Monitoring

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Procurement Card

Cash Receipts

Travel and Travel Card

