

**Internal Audit Status Report
As of November 5, 2012**

FY2013 Annual Audit Plan

Italic Items - have been completed or are in progress

External Financial Audit Support:

Year-end cutoff

Inventory observation

1. FY2013 Audit Plan Progress and Department Staffing

- a. We have four full-time auditors and are recruiting for the student intern position.
- b. One of our auditors has achieved the Certified Information Systems Auditor (CISA) certification since the September meeting.
- c. Each of the other three full-time auditors is pursuing a professional certification, also.

2. Audit Reports:

- a. Banner Program Upgrade Testing – Draft report issued October 18, 2012
- b. Outsourced Information Technology Services - Draft report issued October 18, 2012

3. Support and Consultation Activities

- a. External Audit Request for Proposal (early FY13)
- b. Implementation of Issue Track for campus-designated employees to be able to view open audit recommendations.
- c. Effort certification process redesign.
- d. Website updates.
- e. Internal control discussions with staff system wide (upon request).
- f. Quality Assessment Review (QAR) remediation.

4. Continuous Controls Auditing

This is an ongoing project that involves analytical tests that run automatically on a prescheduled basis. An auditor has been assigned to the follow-up of results from tests, refinement of tests, and development of new tests.

Potentially Prohibited Credit Card Transactions

Potentially Miscoded Credit Card Transactions