

**Audit Status Report
As of January 30, 2014**

FY2014 Audit Plan

Italic Items - have been completed or are in progress

External Financial Audit Support:

<i>Year-end Cutoff</i>	<i>Cash</i>
<i>Procurement Card</i>	<i>Auxiliary Revenues</i>
<i>Payroll</i>	<i>Unexpended Plant Fund Additions</i>
<i>Journal Entries</i>	<i>Search for Unrecorded Liabilities</i>
<i>Cash Disbursements & Bank Transfers</i>	

Audits and Projects:

University of Alaska Anchorage:

Student
 Department Review
 Subcontract Monitoring
*Restricted Funds Monitoring**
 (FY13)
*Departmental Review** - Mat-Su*
College Phase II (FY13)

Information Systems Reviews:

*OnBase Access Controls***
Mobile Technology Security
Records Management and Data
Disposal
Business Continuity
*Banner Access Controls** (FY13)*
Data Integrity (FY13)

University of Alaska Fairbanks:

Student
 Department Review*
 Athletics

Ongoing Audits:

Follow-up Auditing
Continuous Controls Auditing

University of Alaska Southeast:

Sitka Campus Title III (FY13)

Special Requests*

ProCard – UAA, UAF, UAS
Electronic Research Administration

Statewide:

Department Review
 Training

Investigations*

#1 – Confidential
#2 – Confidential
#3 – Confidential
#4 – Confidential
#5 – Confidential

Function and System Reviews:

Budget
Construction Project Management
and Operations Planning
 Contract Authorization and
 Administration
 Risk Management

*Specific departments/areas to be determined later

**Carried forward from FY13

1. FY2014 Audit Plan Progress and Department Staffing
 - a. Fully staffed with four full-time auditors and a part time student intern.
2. Audit Reports:
 - a. Preliminary reports issued January 15, 2014 with formal response due February 19, 2014:
 - UAA Mat-Su College Phase II
 - UAA Restricted Funds Budget and Expenditure Monitoring
 - Banner Access Controls
 - b. Preliminary reports issued January 16, 2014 with formal response due February 20, 2014:
 - UAA Disability Support Services
3. Special Requests Completed:
 - a. System-wide Procurement Card
 - b. Electronic Research Administration
4. Audits in Progress:
 - a. Sitka Campus Title III
 - b. Data Integrity – Student Enrollment Records
 - c. Construction Project Management and Operations Planning
5. Support and Consultation Activities
 - d. In progress:
 - i. University regulation and hotline for fraud, waste and abuse.
 - ii. Business continuity (Kuali Ready implementation).
 - iii. Assistance with risk management identification and risk planning processes.
 - iv. Internal control discussions with staff system wide (upon request).

**External Audit Status Report
As of January 30, 2014**

State Legislative Audit Activities

None

External Audit Reports & Activities

Work in Progress:

1. Sikuliaq Research Vessel (NSF)