



Attachment 1  
Research Subaward Agreement  
Certifications and Assurances

Certification Regarding Lobbying

1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the Subrecipient, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or intending to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the Subrecipient shall complete and submit Standard Form -LLL, "Disclosure Form to Report Lobbying", to the Prime Recipient.

3) The Subrecipient shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S.

Attachment 2  
Research Subaward Agreement  
Prime Award Terms & Conditions  
National Aeronautics and Space Administration

**Certifications/Assurances**

1. By signing this Research Subaward Agreement Subrecipient makes the certifications and assurances specified in the Research and Conditions Appendix C found [http://www.nsf.gov/bfa/dias/policy/rtc/appc\\_june11.pdf](http://www.nsf.gov/bfa/dias/policy/rtc/appc_june11.pdf)

**General terms and conditions:**

1. Under the authority of 42 U.S.C. 2473(c)(5), and is subject to all applicable laws and regulations of the United States in effect on date of this agreement, including but not limited to 14 CFR Part 1260 (Grants and Cooperative Agreements).
2. The restrictions on the expenditure of federal funds in appropriations acts are applicable to this subaward to the extent those restrictions are pertinent.
3. Research Terms and Conditions found at <



Subaward Number:

Institution/Organization ("Subrecipient")

Name:

Address:

City:

State:

ZipCode + 4:

EIN No.:

Subrecipient currently registered in CCR?  Yes  No

DUNS No.:

Congressional District:

Administrative Contact

Address:

City:

State:

ZipCode:

Address:

Telephone:

Attachment 3B - Research Subaward Agreement  
Page 2 - Place of Performance & Highest Compensated Officers

Subaward Number:

Institution/Organization ("Subrecipient")

Name:

Place of Performance

Name:

Address:

City:  State:  ZipCode + 4:

Telephone:  Fax:

Email:  Congressional District:

The names and total compensation of the five most highly compensated officers of the entity(ies) must be listed if--

(i) the entity in the preceding fiscal year received—

(I) 80 percent or more of its annual gross revenues in Federal awards (federal contracts (and subcontracts), loans, grants (and subgrants) and cooperative agreements); AND

(II) \$25,000,000 or more in annual gross revenues from Federal awards; and

(ii) the public does not have access to information about the compensation of the senior executives of the entity through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986.

Is subaward entity exempt from reporting executive compensation?  Yes  No If no, complete the information below.

Officer 1 Name

Officer 1 Compensation

Officer 2 Name

Officer 2 Compensation

Officer 3 Name

Officer 3 Compensation

Officer 4 Name

Officer 4 Compensation

Officer 5 Name

Officer 5 Compensation

Attachment 4  
Research Subaward Agreement  
Reporting Requirements

Enter specifics regarding the type of reports(s), number of copies, formatting requirements, frequency and submittal instructions.

Attachment 5  
Research Subaward Agreement  
Scope of Work and Budget

Scope of Work and Budget (attached  pages)